

Synthesis and characterization of N-alkylchitosan as well as its potency as a paper coating material

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ABSTRACT

N-Alkylchitosan is an amphiphilic chitosan's derivative. Its alkyl side chain is hydrophobic and will reduce the water-absorption capacity of the hydrophilic chitosan. Therefore, it can be applied as waterproof paper coating in food packaging paper. In this study, N-alkylchitosan is synthesized from commercial chitosan having 86% deacetylation degree. Two synthetic methods were compared, in heterogenous system using suspended chitosan in methanol and homogenous system where chitosan was dissolved in 1% acetic acid at the first stage. The infrared spectra showed that synthesis conducted in homogenous system successfully synthesized N-octylchitosan. The degree of substitution (DS) value of the N-octylchitosan was 0.16, based on proton nuclear magnetic resonance analysis. Derivatives with longer alkyl side chain (N-decyl and N-dodecylchitosan) exhibited much lower DS, that is 0.02, which is predicted as the effect of increased steric hindrance. Therefore, based on this study, N-octylchitosan is the most potential as a waterproof paper coating because it has the highest value of DS.

Key words: chitosan, degree of substitution, N-octylchitosan, paper coating.

INTRODUCTION

Paper is composed of cellulose fiber having a lot of hydroxyl functional group so it can absorb water from environment. This property will decrease its mechanical and physical strength, particularly in some application requiring paper properties as a barrier, as in food packaging paper. Therefore, to repair this property, paper is generally combined by varieties of coating material such as plastic or aluminium. Synthetic polymer such as polyethylene and polyamide, respectively can increase waterproof property and can decrease vapor transmission rate. Yet, commonly this synthetic polymer is difficult to be recycled and decomposed [1]. Renewable biopolymer-base coating is already developed nowadays since it is not toxic, easy to be decomposed and recycled.

Chitosan is a second biggest biopolymer in nature after cellulose and is obtained as deacetylation product of N-acetyl-D-glucosamine residue in chitin by using base [2]. Chitosan is not toxic, easy to be decomposed, biocompatible, and also has antibacterial property. Chitosan, because of its hydrophilic property, was used as coating and additive in paper making to increase resistivity to gas and oil [3]. Chitosan modification to N-alkylchitosan will give chitosan derivative which is amphiphilic since it has both hydrophilic and hydrophobic chain. The hydrophobic chain of N-alkylchitosan will decrease chitosan water-absorption capacity [4], so it can be used as waterproof coating in food packaging paper [5].

N-Alkylchitosan can be synthesized through reductive amination procedure. Primary amino group in chitosan form Schiff base with aldehyde and the secondary imine formed is reduced by sodium borohydride (NaBH₄) [6, 7, 8, 9, 10, 11] or the other reducing agent such as sodium cyanoborohydride (NaBH₃CN) [5,12], and potassium borohydride (KBH₄) [13, 14] to be N-alkylchitosan (Figure 1). Alkyl chain variation of N-alkylchitosan was tested by Nicu *et al.* [4] in paper coating application. Treating with 3 kinds of aldehyde, that is octanal, decanal, and dodecanal gave N-alkylchitosan with alkyl degree of substitution (DS), respectively 0.0374, 0.0351, and 0.0335. N-

Octylchitosan having the highest DS value is the best to be used as paper coating among them since it has the lowest water-absorption capacity.

The higher DS value was reported by the other researcher. Mobarak and Abdullah [11] reported *N*-dodecylchitosan synthesis with DS value of 0.25. Rabea *et al.* [9] synthesized *N*-alkylchitosan from chitosan with deacetylation degree (DD) of 85% by using 3 kinds of aldehydes (butanal, octanal, and dodecanal) and gives products with DS, respectively 0.36, 0.22, and 0.10 for NH₂:CHO mol ratio 1:0.3. Onesippe and Lagerge [15] synthesized *N*-dodecylchitosan with DS 0.068 from chitosan having DD 75%. Liu *et al.* [14] synthesized *N*-octyl-*O*-metoxypolyethyleneglycol from chitosan having DD 95%, and DS of obtained *N*-octylchitosan is 0.543.

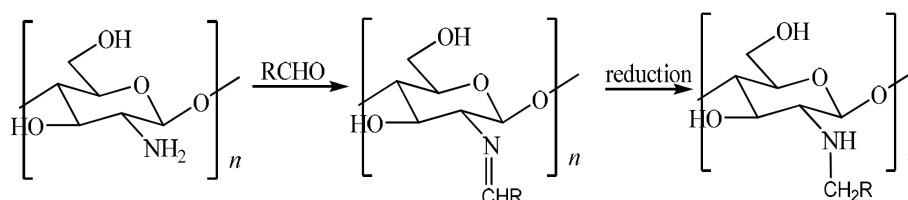


Figure 1. *N*-alkylchitosan synthesis reaction

In this research, commercial chitosan is synthesized to *N*-alkylchitosan through 2 methods. First method, synthesis was conducted in heterogeneous condition based on Liu *et al.* [14], while another is in homogeneous condition based on Rabea *et al.* [10]. The best method is chosen based on product recovery and synthesis success and applied to 3 aldehydes that is octanal (C-8), decanal (C-10), and dodecanal (C-12). Every *N*-alkylchitosan product DS value is analyzed to determine the most potential product to be applied as food packaging paper, which is product having the highest DS value. This research is aimed to determine the best synthesis method for *N*-alkylchitosan and also to determine alkyl chain length having highest potential to be applied as coating paper based on its DS value.

MATERIALS AND METHODS

Main material used is chitosan from PT Brataco Chemical and aldehydes (octanal, decanal, dodecanal) from PT Takasago Singapore. The instruments used were Fourier Transformation Infrared Spectrophotometer (FTIR) Perkin Elmer Spectrum One and proton nuclear magnetic resonance (¹H NMR) JEOL 500 MHz.

Chitosan Characterization

In this research chitosan is characterized through water content, molecular weight, and deacetylation degree (DD) determination.

Water Content Determination [16]

Chitosan water content is determined by gravimetric method. Chitosan (± 1.0000 g) is entered to crucible weighed before and then it is entered to oven ($T = 105$ °C) for 3 hours. After that, it is entered to desiccator and weighed. Drying and weighing is replied until constant weight is obtained.

$$\text{water content} = \frac{\text{initial weight (g)} - \text{final weight (g)}}{\text{initial weight (g)}} \times 100\%$$

Chitosan Molecular Weight Determination [17]

Chitosan molecular weight is determined by viscometry method. Chitosan (± 0.2500 g) is dissolved in acetic acid 0.5 M (250 mL). Then, from that parent solution, solution with varieties concentration 0.2, 0.4, 0.6, 0.8 and 1 g/L are made. Flow time of solution having lowest concentration to highest one (5 mL each) is determined by Ostwald viscometer (capillary tube diameter is 0.01 cm) respectively.

$$\text{relative viscosity } (\eta_r) = \frac{\eta}{\eta_0} = \frac{t}{t_0} \quad \ln \eta_{sp}/c = [\eta] + k'[\eta]^2 c, \text{ with } k' = 0.01$$

$$\text{specific viscosity } (\eta_{sp}) = \eta_r - 1 \quad [\eta] = KM^a, \text{ with } K = 3.5 \times 10^{-4} \text{ and } a = 0.76$$

$$\text{intrinsic viscosity } [\eta] = \lim_{c \rightarrow 0} \left(\frac{\eta_{sp}}{c} \right)$$

Annotation: t = solution flow time
 t_0 = solvent flow time
 M = chitosan molecular weight

Chitosan Deacetylation Degree (DD) Determination

Chitosan is measured by ^1H NMR spectrophotometer JEOL 500 MHz ($T=70^\circ\text{C}$). Solvent used is $\text{CD}_3\text{COOD}/\text{D}_2\text{O}$ 1%. DD value is determined based on first equation [15], second equation [18], and third equation [19]:

$$\text{DA} = \frac{l_{\text{CH}_3}}{l_{\text{H}-1} + l_{\text{H}'-1}} \times 100\%$$

$$\text{DD} = 1 - \text{DA} \quad (1)$$

$$\text{DD} = \frac{l_{\text{H}-1}}{l_{\text{H}-1} + l_{\text{H}'-1}} \times 100\% \quad (2)$$

$$\text{DD} = \frac{l_{\text{H}-1}}{l_{\text{H}-1} + \frac{l_{\text{CH}_3}}{3}} \times 100\% \quad (3)$$

Annotation: $l_{\text{H}-1}$ = H-1 peak area from glucosamine unit
 $l_{\text{H}'-1}$ = H'-1 peak area from *N*-acetylglucosamine unit
 l_{CH_3} = CH_3 peak area from *N*-acetylglucosamine unit
 DA = acetylation degree

N-Octylchitosan in Heterogeneous System [14]

Chitosan (± 5.0 g equivalent with 31 mmol) is suspended in methanol (60 mL) and stirred with magnetic stirrer in room temperature. Octanal (5.0 mL or 31 mmol) is added to that mixture and stirred with magnetic stirrer for 24 hours. Product formation is examined by TLC. NaBH_4 reagent (2.5 g) is dissolved in water (10 mL) first and then added to mixture drop by drop and stirred for 24 hours. Precipitate is then filtrated by vacuum filtration and filtrate obtained is neutralized by HCl. After that, precipitate is washed by methanol and water, dried in oven ($T=60^\circ\text{C}$) for a night, and weighed.

N-Alkylchitosan in Homogeneous System [10]

Chitosan (± 0.5 g or 3 mmol) is dissolved in acetic acid 1% (v/v) solution (50 mL) while stirred for 2 days. 1 equivalent of aldehyde is added to that solution in room temperature, stirred for an hour, and its pH is adjusted to 4.5 by adding NaOH 1 M. NaBH_4 (0.2 g) is dissolved in water (2 mL) and then added to that solution while stirred for 1.5 hours. In order to precipitate the product, pH of that solution is increased to 10. The formed precipitate is then washed and dried in oven (60°C) for a night. The precipitate is then treated by Soxhlet with ethanol-diethyl ether (1:1) for 2 days, and dried in oven (60°C) for 2 hours and weighed.

N-Alkylchitosan Degree of Substitution (DS) Determination

N-alkylchitosan is measured by ^1H NMR spectrophotometer JEOL 500 MHz ($T=80^\circ\text{C}$). The solvent used is $\text{CD}_3\text{COOD}/\text{D}_2\text{O}$ 1%. DS value is calculated by equation (4) [20]:

$$\frac{D}{B+C} = \frac{n \text{DS}}{6} \quad (4)$$

Annotation: B = H-2 peak area from glucosamine unit
 C = H'-2 peak area from *N*-acetylglucosamine and H-3,4,5,6
 D = substituent peak area
 n = hydrogen atom amount in substituent

RESULTS AND DISCUSSION

Chitosan Characteristics

Chitosan water content average for 3 iterations is 9.42%, and still fulfill water content criteria allowed for commercial chitosan, that is less than 10% (Shaji *et al.* 2010). Chitosan molecular weight obtained from viscometry is 3.97×10^5 g/mol. It is influenced by DD value. Chitosan molecular weight used in the former research is also in 10^5 order, that is 10.26×10^5 g/mol [8], 3.00×10^5 g/mol [15] by the same viscometry method and 10^5 g/mol [14] by gel permeation chromatography method.

DD value in this research is determined based on proton signals integration ratio in chitosan ^1H NMR spectrum which is measured at 70°C . Spectrum and interpretation of that signals is showed in Figure 2 and Table 1. Figure 2 explains that signal at 2.5 ppm is CH_3 proton signal from *N*-acetylglucosamine unit, experiencing electron-attraction from amide carbonyl group. While signal at 3.6 ppm is come from H-2 atom of glucosamine residue. This signal is more downfield since induction effect of electron-attraction that is stronger from amino group. Signals at 4.1–4.5 ppm is overlapping signals of H'-2 from *N*-acetylglucosamine residue and H-3,4,5,6. This signal is more downfield compared to H-2 of glucosamine residue since H'-2 atom experiences smaller electron-donation from amide N atom than obtained by H-2 atom from amine N atom. While H-3,4,5,6 atom experience stronger electron-attraction from O atom which is more electronegative than N atom. Signals at 5.05 and 5.3 ppm are H'-1 from *N*-acetylglucosamine and H-1 from glucosamine unit respectively. Both of them is more downfield since they experience direct electron-attraction from 2 O atoms in acetal group and indirect electron-attraction from N atom [10, 18, 19].

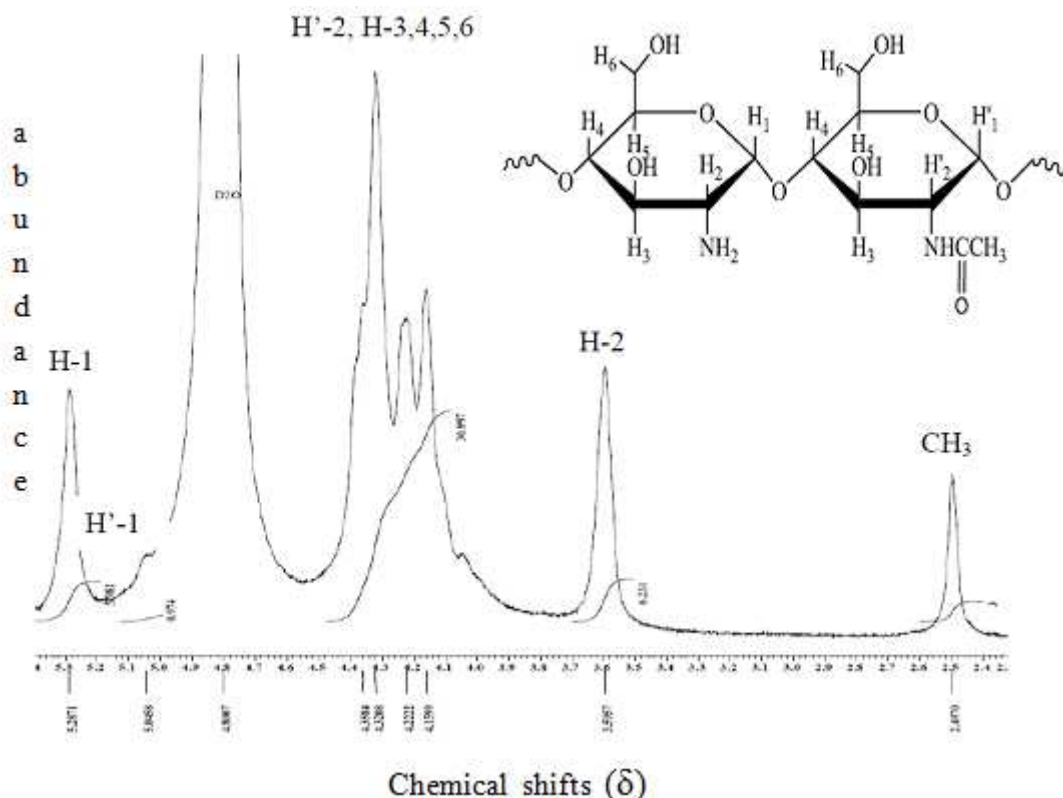


Figure 2. Chitosan ^1H NMR spectrum at 70°C (500 MHz, $\text{CD}_3\text{COOD}/\text{D}_2\text{O}$ 1%)

Table 1 explains integration from each protons observed from ^1H NMR spectrum as data for chitosan DD calculation. The result is based on 3 equations stated by [15] (equation 1), [18] (equation 2), and [19] (equation 3) gives chitosan DD value of 85.63%, 85.99%, and 85.67%, respectively. Therefore, it can be concluded that chitosan used has DD of 86%. The perfect DD value (100%) is rarely obtained since chitosan generally still contains *N*-acetyl-D-glucosamine residue [21].

Table 1. Proton integration (I) observed from chitosan ^1H NMR spectrum

Proton	Chemical shift (ppm)	Integration (I)
CH_3	2.5	3.0000
H-2	3.6	6.2313
H'-2, H-3,4,5,6	4.1–4.5	30.8968
H'-1	5.05	0.9739
H-1	5.3	5.9813

***N*-Octylchitosan Synthesis Result in Heterogeneous and Homogeneous System**

At the initial stage, *N*-octylchitosan is synthesized in heterogeneous system by Liu *et al.* [14] method. Heterogeneous system is used when chemical modification is only desired to be at active sites of macromolecular chain that is easy to be accessed [12]. Yet, result shows that *N*-octylchitosan is not formed and chitosan is obtained again at the end of reaction. In this heterogeneous system, chitosan is not dissolved, but is only suspended in

methanol, and reacted with octanal. Phase difference between chitosan and octanal is predicted to be the cause of alkylation reaction failure.

Deacetylation degree of chitosan raw material that is less than 90% is predicted to give big enough steric hindrance of $-\text{NHCOCH}_3$ group (asetamido) so inhibit interaction between chitosan $-\text{NH}_2$ group and octanal aldehyde ($-\text{CHO}$) group in imine formation. Zhang *et al.* [13] and Liu *et al.* [14] reporting this heterogeneous synthesis success used chitosan with DD of 97% and 95%, respectively. Modification that can be done to increase the success of this alkylation is by dissolving chitosan in acetic acid first, then adding ethanol or methanol before aldehyde addition [12, 22, 23, 24]. This is aimed to make the mixture more homogeneous so the alkylation reaction can take place in that condition.

N-Octylchitosan is then synthesized in homogeneous system by Rabea *et al.* [10] method. Chitosan is dissolved in acetic acid 1% (v/v) so the active sites is enlarged and makes the reaction with octanal to be imine easier. The pH control is needed in imine formation. Acid will protonate carbonyl group so C-carbonyl atom become more electrophilic to NH_2 attack. Therefore, imine is generally formed faster at pH between 4 and 5 [25]. Desbrières *et al.* [12] stated that reaction can last at pH 4–8, while Rinaudo [2] adjusted optimum pH of imine formation reaction at pH 5.05–5.10. Imine formation reaction will take place slower if pH is too low or too high [25]. It can be explained from reaction mechanism showed in Figure 3.

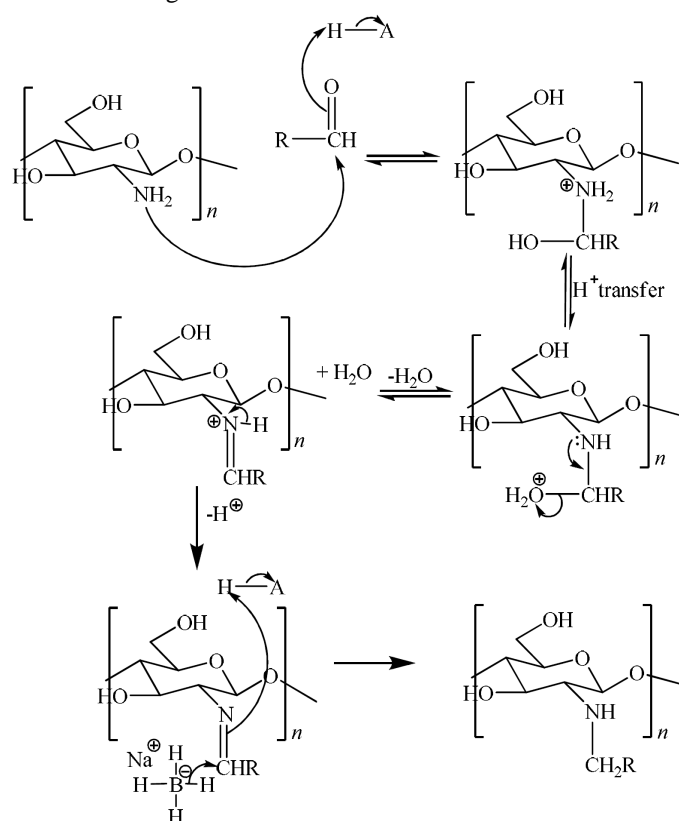


Figure 3. Reductive amination reaction mechanism in chitosan

In the too acid condition, NH_2 will be protonated to NH_3^+ so its nucleophilicity to add aldehyde in initial reaction is lost. Otherwise, if pH is too base, $-\text{OH}$ group is hard to be protonated to be $-\text{OH}_2^+$ so water elimination to form iminium ion in the next stage does not take place. After the imine was formed, $\text{C}=\text{N}$ double bond is reduced by NaBH_4 and *N*-octylchitosan, the product, is formed. This product is a yellow hard lump with the lighter color that the initial chitosan. Its reaction recovery is 48%.

N-alkylchitosan Characteristics

N-alkylchitosan product characterization is conducted by FTIR. The absorption data is compared to raw material spectrum data that is chitosan with aldehyde compound. Table 2 shows IR data comparison of *N*-octylchitosan with chitosan and octanal. In Table 2, new absorption is showed at wavenumber of 1540 cm^{-1} showing $\text{C}-\text{N}$ stretch [26]. The *N*-octylchitosan synthesis result in heterogeneous system does not show this absorption and still looks similar

with raw chitosan spectrum. Therefore, method used to synthesize the other *N*-alkylchitosan derivative, which is *N*-decyl and *N*-dodecyl chitosan is synthesis method in homogeneous system.

Table 2. The FTIR spectrum analysis result of raw material and chitosan alkylation product

Functional group	Wavenumber (cm ⁻¹)			
	<i>N</i> -octylchitosan		Chitosan	Octanal
	Heterogeneous system	Homogeneous system		
OH and NH ₂ stretch	3462, 3445	3484, 3446	3504, 3464	-
Aliphatic CH stretch	2857	2868	2891	2859
-NH-CH ₂ -	-	1540	-	-
CO stretch	1663	1649	1648	1725
Amide III band	1375	1395	1375	-

The other identification to prove that the alkylation took place at N atom in glucosamine (chitosan) is conducted by ¹H NMR characterization and data obtained can be used to calculate DS value at once. The ¹H NMR spectrum of *N*-alkylchitosan and chitosan are showed in Figure 4. The ¹H NMR signals interpretation of chitosan compound (Figure 4a) and *N*-octylchitosan (Figure 4b) is extinguished by absorption from *N*-octyl (-NH-CH₂-(CH₂)₆-CH₃) group giving 3 signals at 1.4, 1.8, and 2.2 ppm that is only appear for *N*-octylchitosan. Signal at 1.4 ppm is predicted come from -CH₃ proton, while signal at 2.2 ppm is given from methylene proton experiencing direct electron-attraction from N atom. The other methylene protons overlap and form multiplet at 1.8 ppm. While at chitosan (Figure 4a), that chemical shift does not appear.

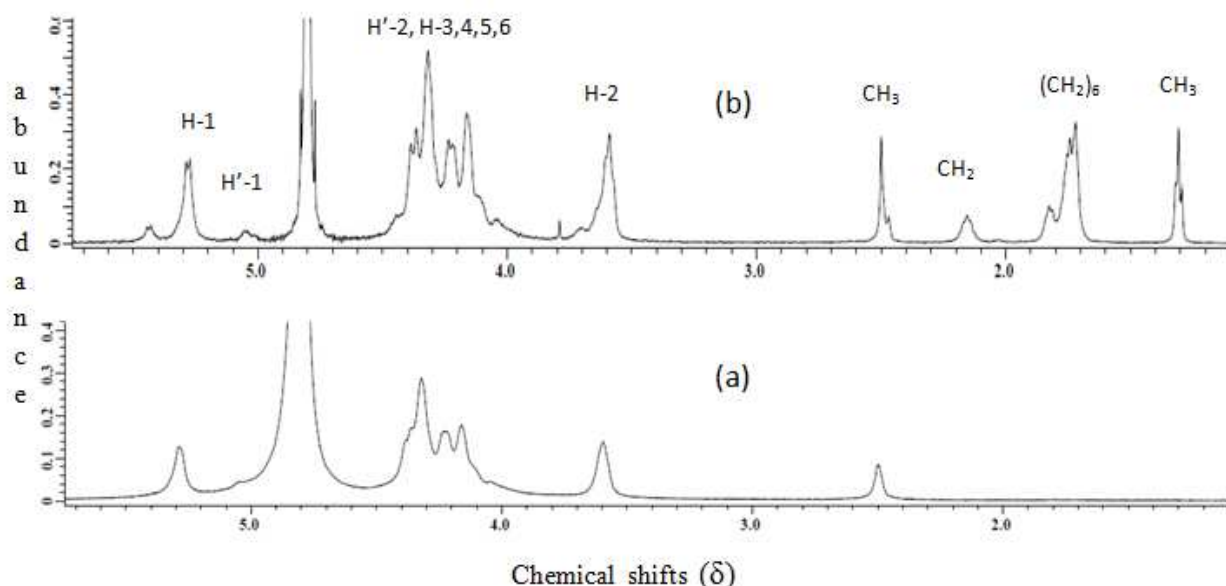


Figure 4 The ¹H NMR spectrum at 70 °C: chitosan (a), *N*-octylchitosan (b)

The effect of Alkyl Chain Length Variation to Recovery and Degree of Substitution

N-Decyl and *N*-dodecylchitosan are also synthesized successfully in homogeneous system. Both of them are also characterized by ¹H NMR. Their spectrum is showed in Figure 5. In Figure 5a and b shows that in chemical shift area from *N*-alkyl (-NH-CH₂-(CH₂)_n-CH₃) group, the signal intensity in aliphatic area is decreased compared to *N*-octylchitosan (Figure 4b). It is predicted to be the cause of low amount of alkyl chain substituted at chitosan chain. Further interpretation from that ¹H NMR data is used to calculate DS. Table 3 shows normalization data from NMR spectrum from the 3 compounds of *N*-alkylchitosan (Figure 4b, 5a and 5b) which is very useful to calculate DS value. DS value for every *N*-alkylchitosan is calculated based on equation 4.

Using equation 4 to calculate DS gives DS value of *N*-octylchitosan, *N*-decylchitosan and *N*-dodecylchitosan of 0.16, 0.02, and 0.02 respectively. DS value of *N*-decylchitosan and *N*-dodecylchitosan are too low compared to *N*-octylchitosan. This value is correlated with alkyl chain intensity in their NMR spectrum. This result shows that alkyl chain length affects significantly by decreasing DS value. Steric hindrance from the longer alkyl chain is predicted to harden the aldehyde in accessing chitosan active sites so DS value is decreased. If that result is compared to DS value of the other alkyl chain synthesized by Rabea *et al.* [9], the same trend is obtained, that is the short alkyl chain is more able to access NH₂ group in chitosan chain so the obtained DS is higher (Figure 6).

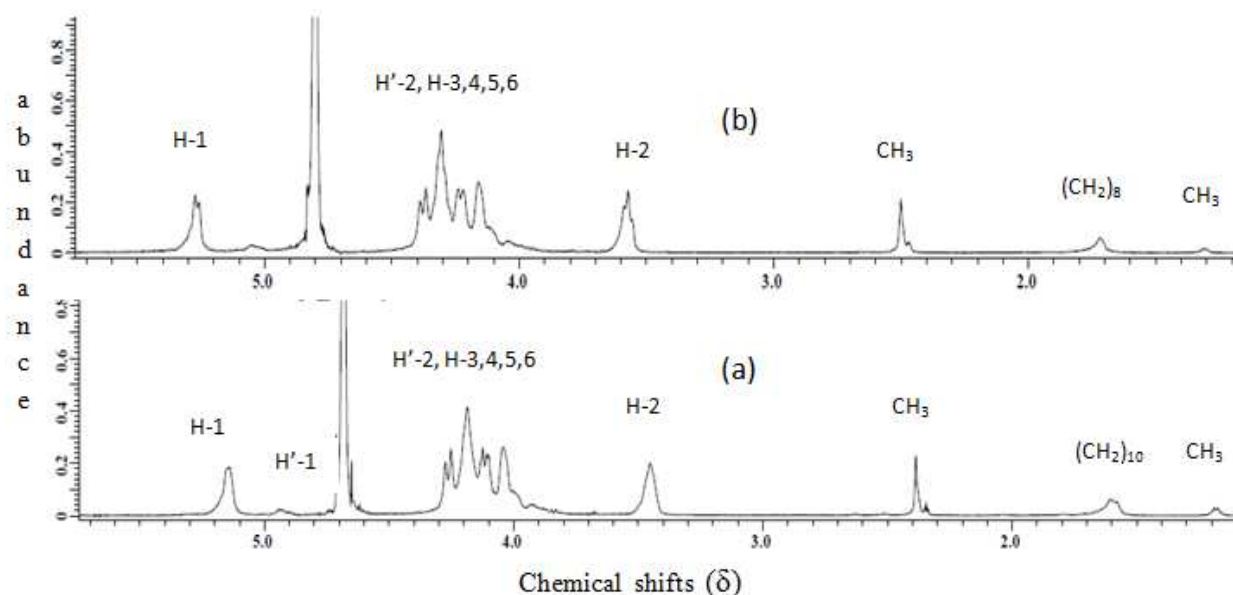


Figure 5. The ^1H NMR spectrum at 70°C : *N*-decylchitosan (a), *N*-dodecylchitosan (b)

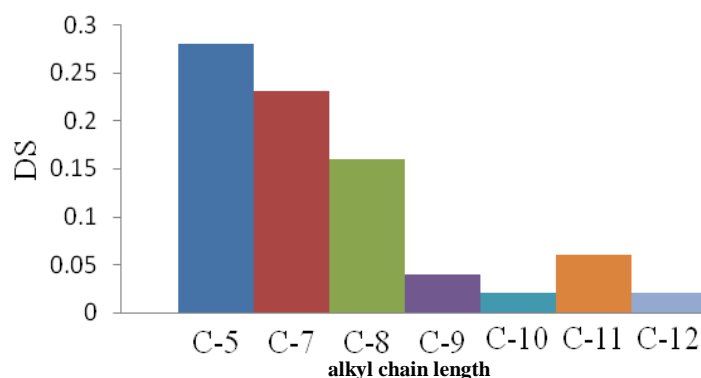
Table 3. Normalization value from every proton peaks in NMR spectrum

Proton	<i>N</i> -octylchitosan (Fig. 4b)		<i>N</i> -decylchitosan (Fig. 5a)		<i>N</i> -dodecylchitosan (Fig. 5b)	
	δ (ppm)	Normalized	δ (ppm)	Normalized	δ (ppm)	Normalized
CH_3	1.4	3.0000	1.3	0.8237	1.3	3.0000
$(\text{CH}_2)_6$	1.8	11.7186	-	-	-	-
$(\text{CH}_2)_8$	-	-	1.7	2.9164	-	-
$(\text{CH}_2)_{10}$	-	-	-	-	1.8	22.4118
CH_2	2.2	1.942	2.5	3.0000	-	-
CH_3	2.5	3.0282	-	-	2.5	19.4486
H-2	3.6	6.1932	3.5	6.4457	3.6	41.1822
H'-2, H-3,4,5,6	4.1-4.5	30.1119	4.1-4.6	38.3552	4.1-4.5	246.6095
H'-1	5.1	0.7496	5.1	0.7700	-	-
H-1	5.3	5.3872	5.3	6.4338	5.3	40.0773

Annotation: δ = chemical shift

Degree of substitution value is decreased significantly from 0.16 in *N*-octylchitosan to 0.04 in *N*-nonylchitosan. It shows that the longest alkyl chain still giving high enough DS value is octanal. Nonanal until dodecanal will get difficulty to access chitosan chain since the alkyl chain is long. Increasing mol ratio of aldehyde and chitosan was reported to be able to increase DS value of *N*-alkylchitosan. Rabea *et al.* [9] stated that octanal and chitosan mol ratio are made 15 times of butanal and chitosan mol ratio will increase *N*-octylchitosan DS value to 0.90, higher than *N*-butylchitosan that is only 0.81. Rabea *et al.* [10] obtained *N*-octylchitosan DS value of 0.12 with mol ratio $\text{NH}_2:\text{CHO}$ (1:0.3), that is increased to 0.22 with mol ratio $\text{NH}_2:\text{CHO}$ (1:1). Therefore, DS value is still able to be increased by adding aldehyde equivalence reacting with chitosan. Yet, that is not tested in this research. After DS value is known, *N*-alkylchitosan recovery can be calculated as ratio between mmol of *N*-alkylchitosan product and mmol of raw chitosan. *N*-alkylchitosan molecular weight is not determined by viscometer since molecular weight value obtained is too big to be used in recovery calculation. Therefore, estimation is applied by summing deacetylated monomer, acetylated monomer, and alkylated monomer molecular weight. Hence, chitosan molecular weight is also estimated by summing deacetylated monomer and acetylated monomer molecular weight. Those monomer compositions are obtained from DD and DS value. Table 4 shows data approach to determine *N*-alkylchitosan recovery. Result shows that *N*-decylchitosan and *N*-dodecylchitosan recovery are higher than *N*-octylchitosan. It is because of low DS value, means only a few of alkyl chain substituted in every chitosan chain. As consequence, there are more chitosan chains changed to *N*-alkylchitosan for the same mmol aldehyde. In the other words, recovery amount is correlated with mmol aldehyde used. Increasing mmol aldehyde has potency to increase

the recovery. *N*-decyl and *N*-dodecylchitosan recovery value are same and it influences their recovery which is relatively same.



Gambar 6 *N*-alkylchitosan DS value depression trend related to alkyl chain length rising: C-8, C-10, C-12 (this research result) compared to C-5, C-7, C-9, and C-11 [9]

Table 4. Data approach to determine *N*-alkylchitosan recovery based on DD and DS value

Sample	Iteration	Chitosan weight (g)	<i>N</i> -alkylchitosan weight (g)	Recovery (%)
<i>N</i> -Octylchitosan	1	0.5036	0.4119	73.33
	2	0.5038	0.4344	76.67
	Average			75.00
<i>N</i> -Decylchitosan	1	0.5072	0.4590	89.94
	2	0.5050	0.4273	83.33
	Average			86.64
<i>N</i> -Dodecylchitosan	1	0.5094	0.4486	86.67
	2	0.5088	0.4290	83.33
	Average			85.00

N-Alkylchitosan synthesized in this research is expected to have a potency as paper coating to repair its waterproof property. Nicu *et al.* [4] reported that *N*-octylchitosan having highest DS value of 0.0374 has the lowest water-absorption capacity, that is 20 g/m², make it the best to be applied as waterproof paper coating compared to *N*-decyl and *N*-dodecylchitosan having water-absorption capacity of 40 g/m² with DS value of 0.0351 and 0.0334. In advance, Nicu *et al.* [4] stated that shorter alkyl chain with highest DS value can form a more compact and uniform film compared to the longer alkyl chain. This research successfully synthesizes *N*-octylchitosan with DS value of 0.16, 4 times higher than Nicu *et al.* [4] synthesis result so this product is expected to be used as a better waterproof paper coating.

CONCLUSION

N-alkylchitosan is successfully synthesized in homogeneous system with chitosan which is dissolved in acetic acid 1% first and is unsuccessful to be synthesized in heterogeneous system with chitosan which is only suspended in methanol. The highest DS value is obtained for *N*-octylchitosan of 0.16 and the same DS value for *N*-decylchitosan and *N*-dodecylchitosan of 0.02 is also obtained. Hence, *N*-octylchitosan is the most potential among the others as waterproof paper coating since it has the highest DS.

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